

THE SUPERSYMMETRIC SINGLET

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Here a model of family mixing and lepton-number breaking based on the existence of a singly-charged scalar field is extended in the supersymmetric case. As a result, the neutrinos acquire Majorana masses radiatively, while the introduction of the right-handed neutrino gives rise to a see-saw mechanism. Its predictions in $\mu \rightarrow e\gamma$ and $\mu \rightarrow \tilde{e}e$ flavour-violating processes appear to be opposite to those of the present superstring models.

In a previous paper [1] we have proposed a class of models of family and lepton-number breaking based on the existence of novel scalar fields which carry lepton and family quantum numbers. These models are based on the standard gauge symmetry $SU(3)_c \times SU(2)_L \times U(1)_Y$ but are grand-unifiable as $SU(5)$, $SO(10)$ or E_6 theories. Of particular simplicity is a model with an $SU(3)_c \times SU(2)_L$ singlet scalar field that has the quantum numbers of the right-handed electron [2]. In that model family mixing among leptons is automatic. Majorana masses for the left-handed neutrinos appear radiatively. In this short letter we shall have a fresh look at this model in the framework of $N=1$ supergravity [3]. At low energies such a theory is roughly a softly broken globally supersymmetric $SU(3)_c \times SU(2)_L \times U(1)_Y$ gauge theory [4] with a highly constrained spectrum.

Except the graviton-gravitino supermultiplet and the gauge-gaugino supermultiplet, the theory contains a set of chiral superfields classified in $SU(3)_c \times SU(2)_L \times U(1)_Y$ multiplets. Focusing on the leptonic sector, we shall have

$$L = (\ell, \tilde{\ell}) = (1, 2, 1/2), \quad E^c = (e^c, \tilde{e}^c) = (1, 1, -1),$$

and (perhaps)

$$N^c = (N^c, \tilde{N}^c) = (1, 1, 0).$$

In addition we must have at least two Higgs-doublet superfields

$$H = (\tilde{H}, H) = (1, 2, 1/2), \quad \bar{H} = (\tilde{\bar{H}}, \bar{H}) = (1, 2, -1/2).$$

The crucial ingredient of the model is a pair of chiral superfields

$$S = (1, 1, -1) = (\tilde{S}, S), \quad \bar{S} = (1, 1, +1) = (\tilde{\bar{S}}, \bar{S}).$$

In the non-supersymmetric version of the model one singlet field S is necessary to realize family mixing. Nevertheless, here the fermionic components of chiral superfields can in general cause anomalies which are avoided if they enter in real reducible representations as $r + \bar{r}$. In addition to the above fields lepton number breaking and radiative left-handed neutrino Majorana masses require an additional pair of Higgs doublets H' , \bar{H}' .

In an $SU(5)$ framework these superfields are contained in the Q_5 , Q_{10} , H_5 , \bar{H}_5 matter and Higgs superfields together with S_{10} and \bar{S}_{10} . The allowed couplings in the superpotential are

$$w = Q_5 Q_{10} \bar{H}_5 + Q_{10} Q_{10} H_5 + \bar{H}_5 H_5 + \dots + Q_5 Q_5 S_{10} + S_{10} \bar{S}_{10} + \bar{H}_5 \bar{H}'_5 S_{10} + H_5 H'_5 \bar{S}_{10} + \dots$$

Nevertheless in $SO(10)$ one has to introduce in addition to Q_{10} and H_{10} the rather large representation S_{120} with couplings

$$w = Q_{16} Q_{16} (H_{10} + S_{120}) + S_{120} .$$

Additional H_{16} , H'_{16} are needed for lepton-number violating couplings of the type $HH'S$.

In the superstring-inspired E_6 group all matter fields are contained in the Q_{27} representation [5]. An additional H_{27} which includes the standard Higgses as well as the new fields leads to a coupling

$$Q_{27} Q_{27} H_{27} ,$$

which does not contain the desired $Q_5 Q_5 H_{10}$ coupling that in $SO(10)$ requires the large representation S_{120} . This last representation is contained only in the 351 representation of E_6 .

Returning to $SU(3)_c \times SU(2)_L \times U(1)_Y$, we write down the matter superpotential

$$w = L_i E_j^c (a_{ij} H + b_{ij} H') + c_{ij} L_i L_j S + d_{HH'S} + e \bar{H} \bar{H}' \bar{S} + \mu H \bar{H} + \mu' H' \bar{H}' + M S \bar{S} + [L_i N_j^c (f_{ij} \bar{H}' + g_{ij} \bar{H}') + \frac{1}{2} M_{ij} N_i^c N_j^c] . \quad (1)$$

It is well known that the Yukawa lagrangian will simply be

$$L_\mu = \sum_{ij} \frac{\partial^2 w}{\partial \varphi^i \partial \varphi^j} \psi_L^i \psi_L^j + \text{c.c.} , \quad (2)$$

while the potential will be

$$V = \sum_i \left| \frac{\partial w}{\partial \varphi_i} \right|^2 + m_{3/2}^2 \sum_i |\varphi^i|^2 + A m_{3/2} (w + w^*) + B m_{3/2} \left(\sum_i \varphi_i \frac{\partial w}{\partial \varphi_i} + \text{c.c.} \right) . \quad (3)$$

The dimensionless parameters A , B depend on the details of the supergravity breaking and are both naturally of order 1.

The non-leptonic fermion mass terms derived from (1) are

$$\bar{S} (M S + e \bar{v} \bar{H}'_-) + H'_+ (d v S + \mu' \bar{H}'_-) + \text{c.c.} ,$$

where $v \equiv \langle H_0 \rangle$ and $\bar{v} \equiv \langle \bar{H}_0 \rangle$. The fermionic mass matrix is more conveniently cast in the form

$$(\bar{S}, \bar{S}^*, H'_+{}^*, \bar{H}'_-)^* \begin{pmatrix} 0 & M & d v & 0 \\ M & 0 & 0 & e v \\ d v & 0 & 0 & \mu' \\ 0 & e \bar{v} & \mu' & 0 \end{pmatrix} \begin{pmatrix} S \\ \bar{S}^* \\ H'_+{}^* \\ \bar{H}'_- \end{pmatrix} , \quad (4)$$

with eigenvalues

$$m^2 = \frac{1}{2} \{ M^2 + \mu'^2 + (d v)^2 + (e \bar{v})^2 \pm \{ [(M + \mu')^2 + (d v - e \bar{v})^2][(M - \mu')^2 + (d v + e \bar{v})^2] \}^{1/2} \} . \quad (5)$$

The bosonic mass matrix is more complicated due to the supersymmetry breaking. Starting from the full scalar potential and neglecting D -terms we obtain ($\bar{A} = A + 2B$, $\bar{B} = A + 3B$)

$$V = |\tilde{e}_j^c (H a_{ij} + H' b_{ij}) + \tilde{l}_j S c_{ij}|^2 + |\tilde{l}_i (H a_{ij} + H' b_{ij})|^2 + |\tilde{l}_i \tilde{l}_j c_{ij} + d_{HH'S} + M \bar{S}|^2 + |M S + e \bar{H} \bar{H}'|^2 + |\tilde{l}_i \tilde{l}_j c a_{ij} + d_{H'S} + \mu \bar{H}|^2 + |\tilde{l}_i \tilde{e}_j^c b_{ij} + d_{HS}|^2 + |\mu H + e \bar{H}' \bar{S}|^2 + |\mu' H' + d_{HS}|^2 + m_{3/2}^2 (|\tilde{l}_i|^2 + |\tilde{e}_i^c|^2 + |S|^2 + |\bar{S}|^2 + |H|^2 + |H'|^2 + |\bar{H}|^2 + |\bar{H}'|^2) + \bar{A} m_{3/2} (\tilde{l}_i \tilde{e}_j^c (a_{ij} H + b_{ij} H') + \tilde{l}_i \tilde{l}_j S c_{ij} + d_{HH'S} + e \bar{H} \bar{H}' S + \text{c.c.}) + \bar{B} m_{3/2} (\mu H \bar{H} + \mu' H' \bar{H}' + M S \bar{S} + \text{c.c.}) . \quad (6)$$

It is well known that radiative corrections due to these couplings as well as s quark couplings can generate a

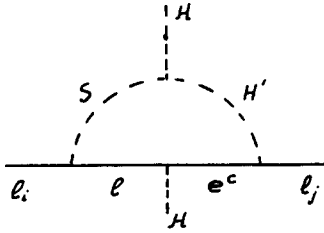


Fig. 1. Light neutrino Majorana masses.

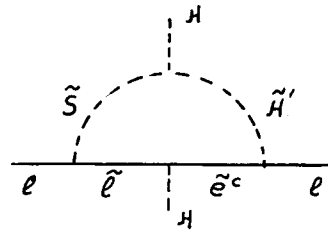


Fig. 2. Supersymmetric contribution to the neutrino mass matrix.

negative mass squared for a combination of Higgses which will cause non-zero vacuum expectation values and $SU(2)_L \times U(1)_Y$ breaking. We have denoted $\langle H_0 \rangle = v$ and $\langle \bar{H}_0 \rangle = \bar{v}$ while $\langle H' \rangle = \langle \bar{H}' \rangle = 0$.

Finally, the relevant quadratic part of the potential will be

$$\begin{aligned}
 V_{\text{QUAD.}} = & [m_{3/2}^2 + M^2 + (dv)^2] |S|^2 + [m_{3/2}^2 + M^2 + (e\bar{v})^2] |\bar{S}| + \bar{\mathbf{B}} M m_{3/2} (\bar{\mathbf{S}}\mathbf{S} + \text{c.c.}) \\
 & + [m_{3/2}^2 + (dv)^2 + \mu'^2] |H'_+|^2 + [m_{3/2}^2 + (e\bar{v})^2 + \mu'^2] |\bar{H}'_-|^2 + \bar{\mathbf{B}} \mu' m_{3/2} (H'_+ \bar{H}'_- + \text{c.c.}) \\
 & + (\mathbf{S}\bar{H}'_-{}^* + \text{c.c.}) M e v + (\mathbf{S}H'_+ + \text{c.c.}) d v m_{3/2} \bar{\mathbf{A}} + (\mathbf{S}\bar{H}'_-{}^* + \text{c.c.}) (\mu v e + \bar{\mathbf{A}} m_{3/2} e \bar{v}) + (\mathbf{S}\bar{H}'_+{}^* + \text{c.c.}) (d v M) .
 \end{aligned} \tag{7}$$

In the supersymmetric limit the bosonic mass matrix will be just the square of the fermion mass matrix.

The full bosonic mass matrix in the basis $(S, \bar{S}^*, H'^*, \bar{H}')$ is

$$\begin{pmatrix}
 m_{3/2}^2 + M^2 + (dv)^2 & \bar{\mathbf{A}} M m_{3/2} & (d\bar{\mathbf{B}} m_{3/2} v + \mu \bar{v} d) & \mu' d v + M e \bar{v} \\
 \bar{\mathbf{A}} M m_{3/2} & M^2 + (e\bar{v})^2 + m_{3/2}^2 & \mu' e \bar{v} + M d v & \bar{\mathbf{B}} \bar{v} e m_{3/2} + \mu v \\
 (d\bar{\mathbf{B}} m_{3/2} v + \mu \bar{v} d) & \mu' e \bar{v} + M d v & m_{3/2}^2 + (dv)^2 + \mu'^2 & \bar{\mathbf{A}} \mu' m_{3/2} \\
 \mu' d v + M e \bar{v} & (d\bar{\mathbf{B}} m_{3/2} \bar{v} + \mu v) e & \bar{\mathbf{A}} \mu' m_{3/2} & \mu'^2 + m_{3/2}^2 + e^2 \bar{v}^2
 \end{pmatrix} . \tag{8}$$

The above matrix is simplified considerably if we neglect the direct mass $M\bar{S}\bar{S}$. Thus, for $M=0$ and $\mu' \ll \mu, v, \bar{v}$, we obtain

$$\begin{pmatrix}
 \kappa & 0 & \xi & 0 \\
 0 & \lambda & 0 & \nu \\
 \xi & 0 & \kappa & 0 \\
 0 & \nu & 0 & \lambda
 \end{pmatrix} , \tag{9}$$

where

$$\kappa = m_{3/2}^2 + (dv)^2 \quad \lambda = m_{3/2}^2 + (e\bar{v})^2, \quad \xi = d(\bar{\mathbf{B}} m_{3/2} v + \mu \bar{v}) \quad \nu = e(\bar{\mathbf{B}} \bar{v} m_{3/2} + \mu v) .$$

The resulting eigenvalues and eigenfields are

$$m_{1,2}^2 = \kappa \pm \xi, \quad m_{3,4}^2 = \lambda \pm \nu, \quad \phi_{1,2} \equiv 1/\sqrt{2}(S \pm H'^*), \quad \phi_{3,4} = 1/\sqrt{2}(\bar{S}^* \pm \bar{H}') .$$

However, in the general case $M, \mu' \neq 0$, if we assume that $M > v, \bar{v}$, then we estimate that $M_{\bar{S}, S}^2 \sim Q(M^2 + m_{1,2})$ and $M_{H', \bar{H}'} \sim O(\mu'^2 + m_{3,4})$.

Neutrino masses and lepton flavor violation. The lepton-number violating vertices $HH'S$ and $\bar{H}\bar{H}'\bar{S}$ generate one-loop Majorana masses for the left-handed neutrinos through the diagrams of figs. 1 and 2. The rough order of magnitude of these masses is

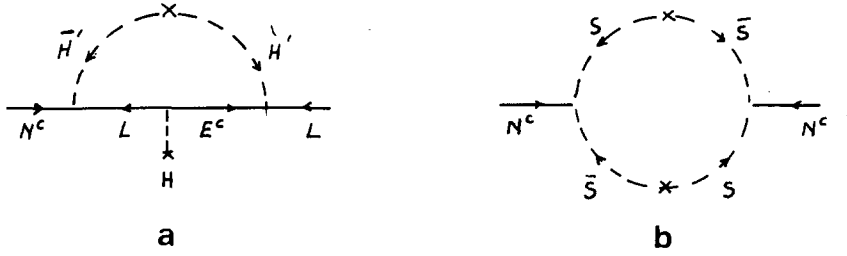


Fig. 3. Dirac and Majorana neutrino masses for the right-handed neutrino.

$$m_\nu \approx \frac{dA}{16\pi^2} \frac{v\bar{v}}{m_{\tilde{H}}^2} C_{ij} \frac{\mu m_{3/2}}{m_{\tilde{S}}^2 - m_{\tilde{H}}^2} \ln \frac{m_{\tilde{S}}^2}{m_{\tilde{H}}^2} (m_{e_j} - m_{e_i}). \tag{10}$$

We can find the eigenvalues here in a similar way to the non-supersymmetric case. Thus

$$m_1 = -m_0 \rho \sin 2\varphi, \quad m_{2,3} \approx m_0 \rho (\pm 1 + \frac{1}{2} \rho \sin \varphi), \tag{11}$$

where

$$m_0 = \left(\frac{dA}{\cos \varphi} \frac{C_{\tau e}}{16\pi^2} \frac{v\bar{v}}{m_{\tilde{H}}^2} \frac{\mu m_{3/2}}{m_{\tilde{S}}^2 - m_{\tilde{H}}^2} \ln \frac{m_{\tilde{S}}^2}{m_{\tilde{H}}^2} \right) m_\tau, \tag{12}$$

and

$$\rho = \frac{C_{\mu e}}{C_{\tau e}} \frac{m_\mu}{m_\tau}, \quad \tan \varphi = \frac{C_{\tau \mu}}{C_{\tau e}} (1 - m_\mu/m_\tau). \tag{13}$$

The limits on neutrino masses from $\beta\beta$ -decay [6] can be converted into limits for the singlets in a similar way to the non-supersymmetric case [1].

Let us now discuss the possibility of introducing the right-handed neutrino. Then one can have a radiative contribution to the Dirac masses of the neutrinos. The relevant terms shown explicitly in the superpotential can generate the graph of fig. 3a. Then one gets the contribution

$$(m_D)_{ij} \approx \frac{\bar{\mathbf{B}}}{16\pi^2} \frac{v m_{3/2}}{m_{\tilde{H}}^2} \mu' \frac{m_{e_j}^2 + m_{e_i}^2}{m_{\tilde{H}}^2 - m_{\tilde{H}}^2} \ln \frac{m_{\tilde{S}}^2}{m_{\tilde{H}}^2}. \tag{14}$$

We finally notice that a see-saw mechanism is possible here. If we consider the vertex $\tilde{S}\tilde{S}N^c$ then the RH neutrinos get Majorana masses of order M_S through the diagram of fig. 3b.

Finally we discuss here in brief the $\mu \rightarrow e\gamma$ and $\mu \rightarrow 3e$ decays. The first one can occur through diagrams of fig. 4a. The branching ratio for $\mu \rightarrow e\gamma$ is calculated to be

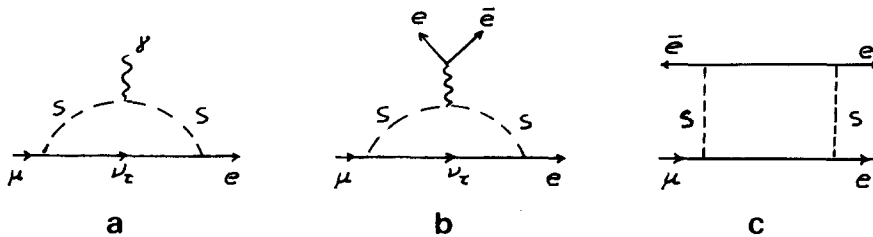


Fig. 4. Flavor-violating processes.

$$\text{BR}(\mu \rightarrow e\gamma) \approx \frac{\sin^4 \vartheta_w}{6\pi^2} \left(\frac{C_{\mu\tau} C_{\tau e}}{e} \right)^2 \left(\frac{m_w}{m_s} \right)^4 \left(\frac{m_s - m_s^2 \tilde{F}(\alpha)}{m_s^2} \right)^2, \quad (15)$$

where the function $F(\alpha)$ is given by

$$F(\alpha) = \frac{1}{1-\alpha} \left[1 - 3 \frac{\alpha}{1-\alpha} - 6 \frac{\alpha^2}{(1-\alpha)^2} \left(1 + \frac{\ln \alpha}{1-\alpha} \right) \right], \quad \alpha = \frac{m_{\tilde{b}}^2}{m_s^2}. \quad (16)$$

If $m_{\tilde{b}} \ll m_s$ then $F(\alpha) \sim 1$ and for $C_{\mu\tau} \sim C_{\tau e} \sim 10^{-1}$ we get

$$\text{BR}(\mu \rightarrow e\gamma) \sim 0.97 \times 10^{-6} (m_w m_{3/2} / m_s m_s)^4. \quad (17)$$

For $m_w \sim 80$ GeV, $m_s \sim 600$ GeV and $m_{3/2} \sim 400$ GeV we have

$$\text{BR}(\mu \rightarrow e\gamma) \approx 1.2 \times 10^{-12} (m_{3/2} / 100 \text{ GeV})^4, \quad (18)$$

which is very close to the present experimental limit [7] $\text{BR}_{\text{exp}} \leq 4.9 \times 10^{-11}$. The second decay $\mu \rightarrow \bar{e}e$ can occur through graphs like those of figs. 4b, 4c. In the case of fig. 4b the BR is at least two orders of magnitude smaller than that of $\mu \rightarrow e\gamma$ while the contribution of fig. 4c is even weaker. Indeed for fig. 4c we get

$$\text{BR}(\mu \rightarrow 3e) \approx \left(\frac{C_{\mu\tau} C_{\tau e}^3}{g^2} \frac{1}{\sqrt{2 \cdot 16\pi^2}} \right)^2 \left(\frac{m_w}{m_s} \right)^4 \left(\frac{m_s^2 - \tilde{G}(\alpha) m_s^2}{m_s^2} \right)^2, \quad (19)$$

where $\tilde{G}(\alpha) \sim 1$ if $m_{\tilde{b}} \ll m_s$.

Substituting the same values for the masses as in (22) we get

$$\text{BR}(\mu \rightarrow 3e) \sim 0.5 \times 10^{-13} \left(\frac{m_w m_{3/2}}{m_s m_s} \right)^4 \sim 0.62 \times 10^{-22} \left(\frac{m_{3/2}}{100 \text{ GeV}} \right)^4, \quad (20)$$

which is very small compared to that of (18). Thus, from the above analysis we conclude that the $\mu \rightarrow e\gamma$ decay is favoured in this model. For reasonable values of the gravitino mass ($\sim O(100 \text{ GeV})$) the theoretical prediction of this model comes very close to the experimental one. Thus, bearing in mind that:

- (a) the conventional models with s-neutrino mixing predict very low branching ratios [8] for the above decay;
- (b) in superstring models $\mu \rightarrow e\gamma$ is not favoured [9,10] while the $\mu \rightarrow 3e$ branching ratio is expected to be large, we conclude that its possible observation would give a signature for the existence of Higgs particles beyond the standard doublet.

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