

## Grand unified string models and low energy couplings

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Recent calculations have shown that the string unification scale is naturally about two orders of magnitude larger than a typical supersymmetric grand unification scale compatible with the latest LEP data on  $\sin^2\theta_w$  and  $\alpha_3$ . Studying the evolution of the gauge couplings of the string derived models based on the  $SU(4) \times SU(2)_L \times SU(2)_R$  and  $SU(3)^3$  gauge groups, we examine the conditions that make consistent the high string unification scale with the low energy phenomenology. Assuming only the content of the minimal supersymmetric standard model below a reasonable grand unification scale, we derive the constraints on the string spectrum of the above models.

Recently, the unification idea has been revived in the light of LEP results which provided very accurate values for the parameters of the standard model and in particular for the weak angle [1]. Extrapolating the three gauge couplings at very high energies by the renormalization group equations (RGEs) and assuming the minimal particle content of the standard model, one finds that the strong coupling  $\alpha_3$  misses the crossing of the other two, by almost four orders of magnitude in the energy scale. However, in the presence of supersymmetry, the three couplings meet in a single point around  $10^{16}$  GeV, assuming a supersymmetry breaking scale in the range between 100 GeV and a few TeV.

In the context of superstring theories, all interactions are unified at the string scale  $M_{su}$  which is close to the Planck scale. In fact the coupling constant  $g_i$  associated to a (non-abelian) gauge group factor  $G_i$  is given by  $g_i^2 = g^2/k_i$  where  $g$  is the four-dimensional string coupling and  $k_i$  the (integer) Kac–Moody level. An automatic unification of all couplings is then ob-

tained by setting  $k_i = 1$  which also guarantees the absence of “exotic” massless representations, leading only to  $SU(3)$  triplets and/or  $SU(2)$  doublets.

The string unification scale is calculable in terms of the Planck mass  $M_{Pl}$  and the string threshold corrections [2–5]. Therefore in the minimal string unification, where only the supersymmetric standard model is derived below  $M_{Pl}$ , one finds two predictions; both  $\sin^2\theta_w$  and the strong coupling  $\alpha_3$  are calculable in terms of the electromagnetic coupling  $\alpha$  and the Planck mass [6,7]. Indeed assuming the standard model minimal content (supersymmetrized), the RGEs read

$$\ln \frac{M_{su}}{M_Z} = \frac{\pi}{10\alpha} \left( 1 - \frac{8}{3} \frac{\alpha}{\alpha_3} \right), \quad (1a)$$

$$\sin^2\theta_w = \frac{1}{5} + \frac{7}{15} \frac{\alpha}{\alpha_3}, \quad (1b)$$

$$\frac{1}{\alpha_u} = \frac{1}{\alpha_3} + \frac{3}{2\pi} \ln \frac{M_{su}}{M_Z}. \quad (1c)$$

At lowest order, the string unification scale can be taken to be equal to the square root of the inverse Regge slope

$$M_{\text{su}} \sim (\alpha')^{-1/2} = \frac{1}{2} \sqrt{\alpha_{\text{su}}} M_{\text{Pl}} \sim 10^{18} \text{ GeV}. \quad (2)$$

This scale is obviously two orders of magnitude larger than the minimal unification scale and leads to  $\sin^2 \theta_w = 0.215$  and  $\alpha_3 = 0.24$ , which are clearly unacceptable. String threshold corrections are in principle large because of the contribution of an infinite number of massive states. However, they turn to be unimportant in most cases, where an explicit calculation was performed or a lower bound on  $M_{\text{su}}$  could be obtained [5]. As a result, the minimal string unification seems unlikely to be realized in a phenomenologically viable way [6,7].

Modifying the "minimal" scenario the predictive power of the theory is reduced and there are two possible alternatives. The first is to consider additional matter representations at relatively low energies, besides those of the minimal content of the standard model [6]. The second is to introduce an ordinary grand unified (GUT) group which is broken to the standard model in a GUT scale  $M_X$  different from  $M_{\text{su}}$ . In this letter the latter possibility is investigated in the context of two viable string models based on the left-right symmetric GUT groups, namely the  $SU(4) \times SU(2)_L \times SU(2)_R$  [8] derived in the fermionic construction of four dimensional strings, and the  $SU(3) \times SU(3)_L \times SU(3)_R$  [9,10] derived via a Calabi-Yau compactification. Another possibility is the scale of flipped  $SU(5)$  [11], where it was found [5,6] that the existence of an intermediate  $SU(5) \times U(1)$  phase decreases the value of  $\sin^2 \theta_w$  and it is therefore preferable, in this case, to have  $M_X$  close to  $M_{\text{su}}$ . The agreement with low energy data can then be achieved at the expense of introducing additional light matter representations.

We start by considering the model based on the  $SU(4) \times SU(2)_L \times SU(2)_R$  gauge symmetry. For completeness we will briefly present here its massless spectrum. The  $n_G$  fermion generations are sitting in the  $(\bar{4}, 1, 2) + (4, 2, 1)$  representations of the  $SU(4) \times SU(2)_L \times SU(2)_R$  symmetry. Let  $n_H$  be the number of Higgs fields, which break  $SU(4) \times SU(2)_R \rightarrow SU(3) \times U(1)_Y$ , at some scale  $M_X$ , sitting in the  $(4, 1, 2)$  and  $(\bar{4}, 1, 2)$  representations,  $n_{22}$  the number of  $(1, 2, 2)$  Higgs which break the standard

model symmetry to  $SU(3) \times U(1)_{\text{EM}}$  and  $n_6$  the number of sextets  $(6, 1, 1)$ . In  $k=1$  constructions (as in the case of this specific model), fractionally charged states also appear sitting in  $(4, 1, 1)$ ,  $(1, 2, 1)$  and  $(1, 1, 2)$  representations. Let  $n_4$ ,  $n_L$  and  $n_R$  be their number correspondingly. After the first symmetry breaking at  $M_X$ , in the most general case, one is left with exotic states (in addition to the standard content) which arise from the decompositions of the previous fields:

$$\begin{aligned} \bar{H}(\bar{4}, 1, 2) &\rightarrow u_{\bar{H}}^c(\bar{3}, 1, -\frac{4}{3}) + d_{\bar{H}}^c(\bar{3}, 1, \frac{2}{3}) \\ &+ \nu_{\bar{H}}^c(1, 1, 0) + e_{\bar{H}}^c(1, 1, 2), \end{aligned}$$

$$\begin{aligned} H(4, 1, 2) &\rightarrow \bar{u}_{\bar{H}}^c(\bar{3}, 1, \frac{4}{3}) + \bar{d}_{\bar{H}}^c(\bar{3}, 1, -\frac{2}{3}) \\ &+ \bar{\nu}_{\bar{H}}^c(1, 1, 0) + \bar{e}_{\bar{H}}^c(1, 1, -2), \end{aligned}$$

$$D(6, 1, 1) \rightarrow D_3(3, 1, -\frac{2}{3}) + \bar{D}_3(\bar{3}, 1, \frac{2}{3}),$$

$$H_4(4, 1, 1) \rightarrow d'_3(3, 1, -\frac{1}{3}) + e'_3(1, 1, -1),$$

$$\bar{H}_4(\bar{4}, 1, 1) \rightarrow d''_3(\bar{3}, 1, \frac{1}{3}) + e''_3(1, 1, 1),$$

$$h_L(1, 2, 1) \rightarrow h_L(1, 2, 0),$$

$$h_R(1, 1, 2) \rightarrow h_R^+(1, 1, 1) + h_R^-(1, 1, -1). \quad (3)$$

In eq. (3), the fields in the left-hand side appear with their quantum numbers under the  $SU(4) \times SU(2)_L \times SU(2)_R$ , while in the right-hand side under  $SU(3) \times SU(2)_L \times U(1)_Y$ .

Once we have derived the spectrum, we can write down the one-loop  $\beta$  function coefficients. In the range  $M_X < M < M_{\text{su}}$  we get

$$b_4 = -12 + 2n_G + n_H + n_6 + \frac{1}{2}n_4,$$

$$b_{2L} = -6 + 2n_G + n_{22} + \frac{1}{2}n_L,$$

$$b_{2R} = -6 + 2n_G + n_{22} + \frac{1}{2}n_R + 2n_H, \quad (4)$$

where  $n_G$  is the number of generations ( $n_G = 3$ ). In the range  $M_Z < M < M_X$  we have

$$b_3 = -9 + 2n_G + \frac{1}{2}n_3 + \frac{1}{2}n'_3 + \frac{1}{2}n'_{31},$$

$$b_2 = -6 + 2n_G + \frac{1}{2}n_2 + \frac{1}{2}n'_L,$$

$$b_Y = 2n_G + \frac{3}{10}n_2 + \frac{3}{20}n'_L + \frac{1}{3}n_3 + \frac{1}{20}n'_3 + \frac{4}{3}n'_{31}, \quad (5)$$

where  $n_3$  is the number of the usual color triplets  $(3, 1, \frac{1}{3})$ ,  $n'_3$  is the number of triplets arising from  $H'_4$ ,  $\bar{H}'_4$  fields while  $n'_{31}$  is the number of  $u_{\bar{H}}^c$  and  $\bar{u}_{\bar{H}}^c$  up-

quark-type Higgs. Also  $n'$  is the number of singlets with fractional charges  $\pm \frac{1}{2}$ , arising from  $h_R$  and  $H'_4$ ,  $\bar{H}'_4$ . In particular, in the model under consideration,  $n'_{31} = n'_3 = 2$ , if none of these triplets get mass at  $M_X$ , and  $n' = 2n_R + 2$ .

Let us consider first the simplest case in the above model, where we have only one intermediate scale  $M_X$  between the superunification scale  $M_{su}$  and the weak scale  $M_w$ . Assume further that  $M_X$  is the SU(4) breaking scale and that below this energy we have the standard model gauge group with the minimal fermion and Higgs content. Above  $M_X$ , the spectrum contains the representations of the particular string model. Bearing in mind that at  $M_X$  we have the relation

$$\frac{1}{\alpha_Y} = \frac{2}{5} \frac{1}{\alpha_4} + \frac{3}{5} \frac{1}{\alpha_R}, \quad (6)$$

the RGEs yield

$$\frac{1}{\alpha_3} = \frac{1}{\alpha_{su}} + \frac{b_4}{2\pi} \ln \frac{M_{su}}{M_X} - \frac{3}{2\pi} \ln \frac{M_X}{M_Z}, \quad (7a)$$

$$\sin^2 \theta_w = \frac{\alpha}{\alpha_3} + \frac{\alpha}{2\pi} (b_L - b_4) \ln \frac{M_{su}}{M_X} + \frac{2\alpha}{\pi} \ln \frac{M_X}{M_Z}, \quad (7b)$$

where

$$\ln M_X = \frac{k \ln M_{su} - 36 \ln M_Z - 6\pi/\alpha - 16\pi/\alpha_{su}}{k - 36}, \quad (7c)$$

and  $k = 2b_4 + 3b_R + 3b_L$ . It is easy to check, that even in this simple picture of the model, one can find acceptable values of the relevant parameters satisfying eqs. (7). For example, if  $n_H = n_6 = n_{22} = 4$  and  $n_L = n_R = 0$ , we find that  $M_X \sim 2 \times 10^{14}$  GeV,  $\sin^2 \theta_w \sim 0.233$ ,  $\alpha_3 \sim 0.108$  and  $\alpha_{su} \sim 0.054$ . In the case where  $n_H = n_6 = n_{22} = 4$  and  $n_L = 2$  and  $n_R = 0$ , we get  $M_X \sim 0.8 \times 10^{14}$  GeV,  $\sin^2 \theta_w \sim 0.232$ ,  $\alpha_3 \sim 0.108$  and  $\alpha_{su} \sim 0.057$ . The resulting  $M_X$  scale, although somewhat small, does not create any problem, since in the case of SU(4) unification there are no dangerous gauge bosons leading to fast proton decay.

The above results show that in the case of the SU(4)  $\times$  SU(2)<sub>L</sub>  $\times$  SU(2)<sub>R</sub> string model, there is a positive role of the intermediate GUT scale  $M_X$ . The different role of the  $M_X$  scale in this case compared

to the one flipped SU(5) [5,6], can be understood by studying the value of  $\sin^2 \theta_w$  at  $M_X$ :

$$\sin^2 \theta_w = \frac{\alpha}{\alpha_2} = \frac{3}{8 + 5\alpha_2(1/\alpha_Y - 1/\alpha_2)}. \quad (8)$$

At  $M_X$ , in the case of flipped SU(5), one has the relation

$$\frac{1}{\alpha_Y} = \frac{1}{25} \frac{1}{\alpha_5} + \frac{24}{25} \frac{1}{\alpha_1}, \quad (9a)$$

which implies that

$$\begin{aligned} \frac{1}{\alpha_Y} - \frac{1}{\alpha_2} &= \frac{24}{25} \left( \frac{1}{\alpha_1} - \frac{1}{\alpha_5} \right) \\ &= \frac{1}{4\pi} \frac{24}{25} (b_1 - b_5) \ln \left( \frac{M_{su}^2}{M_X^2} \right). \end{aligned} \quad (9b)$$

Now notice that the contribution of gauge bosons (and gauginos) alone is large and positive:  $b_1 - b_5 = 15$ . Thus, the value of  $\sin^2 \theta_w$  at  $M_X$  is in general reduced and it is preferable to raise the SU(5) breaking scale  $M_X$  closer to  $M_{su}$ . In the case of SU(4)  $\times$  SU(2)<sub>L</sub>  $\times$  SU(2)<sub>R</sub> the situation is somewhat different. The relation (6) that holds between  $\alpha_Y$ ,  $\alpha_4$  and  $\alpha_R$  at  $M_X$ , implies that

$$\begin{aligned} \frac{1}{\alpha_Y} - \frac{1}{\alpha_2} &= \frac{2}{5} \frac{1}{\alpha_4} + \frac{3}{5} \frac{1}{\alpha_5} - \frac{1}{\alpha_L} \\ &= \frac{1}{4\pi} \left( \frac{2}{5} b_4 + \frac{3}{5} b_R - b_L \right) \ln \left( \frac{M_{su}^2}{M_X^2} \right). \end{aligned} \quad (10)$$

In this case, the gauge boson and gaugino contributions turn out to be negative:  $\frac{2}{5} b_4 + \frac{3}{5} b_R - b_L = -\frac{12}{5}$ . The value of  $\sin^2 \theta_w$  at  $M_X$  is thus increased and an appropriate value for  $\alpha_Y$  at  $M_X$  leads to reasonable values of  $\sin^2 \theta_w$  at  $M_Z$ .

We have already mentioned that the most general picture of the model is more complicated. Firstly, there exists a scale  $M_A$  where the anomalous U(1) factor breaks down while some singlet fields acquire non-vanishing VEVs [8]. It is possible that some of the fields receive masses at that scale. Furthermore, after the SU(4)  $\times$  SU(2)<sub>R</sub> breaking, some of the exotic states may survive down to an intermediate scale  $M_I$ , at which some non-renormalizable interactions make them massive. In this case, eqs. (7) are generalized as follows:

$$\frac{1}{\alpha_3} = \frac{1}{\alpha_{su}} + \frac{3}{2\pi} \ln \frac{M_{su}}{M_A} + \frac{b_4}{2\pi} \ln \frac{M_A}{M_X} + \frac{b_3}{2\pi} \ln \frac{M_X}{M_1} - \frac{3}{2\pi} \ln \frac{M_1}{M_Z}, \quad (11a)$$

$$\sin^2 \theta_w = \frac{\alpha}{\alpha_3} + \frac{\alpha}{2\pi} 6 \ln \frac{M_{su}}{M_A} + \frac{\alpha}{2\pi} (b_L - b_4) \ln \frac{M_A}{M_X} + \frac{\alpha}{2\pi} (b_2 - b_3) \ln \frac{M_X}{M_1} + \frac{\alpha}{2\pi} 4 \ln \frac{M_1}{M_Z}, \quad (11b)$$

where  $M_1$  is given by

$$\ln M_1 = \left( \frac{16\pi}{\alpha_{su}} - \frac{6\pi}{\alpha} + 84 \ln \frac{M_{su}}{M_A} + k \ln \frac{M_A}{M_X} + k' \ln M_X - 36 \ln M_Z \right) (k' - 36)^{-1}, \quad (11c)$$

with  $k' = 5b_Y + 3b_2$ . In the above formulae we have assumed the string content between  $M_{su}$  and  $M_A$  and the standard model one below  $M_1$ . Using eqs. (11) one can plot, in the parameter space  $(\sin^2 \theta_w, \alpha_3)$ , contours of constant  $M_X$ . Alternatively, instead of eq. (11c) one can solve for  $M_X$  getting

$$\ln M_X = \left( \frac{16\pi}{\alpha_{su}} - \frac{6\pi}{\alpha} + 84 \ln \frac{M_{su}}{M_A} + k \ln M_A - k' \ln M_1 + 36 \ln \frac{M_1}{M_Z} \right) (k - k')^{-1}. \quad (11d)$$

Using now eqs. (11a), (11b), (11d) one can plot contours for constant  $M_1$ . In fig. 1 we show such contours using the following particle content:

$$n_L = 4, \quad n_R = 0, \quad n_{22} = n_4 = n_2 = n_H = 2, \\ n_6 = 4, \quad n'_{31} = n'_3 = n'_L = n' = 2, \quad n_3 = 0.$$

From this figure we get that  $M_X$  varies approximately between  $10^{15}$  GeV and  $10^{15.8}$  GeV. These values are significantly higher than the ones obtained before in the simple picture of the model. The intermediate scale  $M_1$  lies in the range  $10^8 < M_1 < 10^{14}$  GeV. Of course a more detailed analysis should have taken into account the possibility that different exotic states acquire masses at different intermediate scales. Our analysis here estimates a rather average value of these possible intermediate scales.

Another gauge string model which has been de-

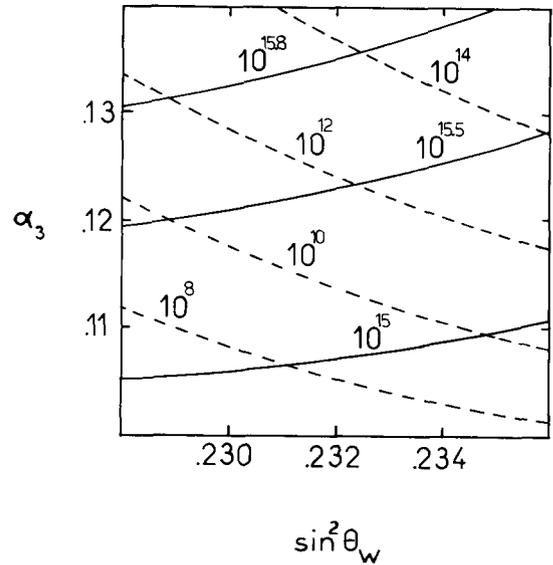


Fig. 1. Contours of constant  $M_X$  (solid lines) and  $M_1$  (dashed lines), labelled in GeV, in the parameter space  $(\sin^2 \theta_w, \alpha_3)$ . The particle content is  $n_L = 4, n_R = 0, n_{22} = n_4 = n_2 = n_H = 2, n_6 = 4$  and  $n'_{31} = n'_3 = n'_L = n' = 2, n_3 = 0$ .

rived from the compactification procedure, is based on the gauge symmetry  $SU(3) \times SU(3)_L \times SU(3)_R$ , which is a maximal subgroup of  $E_6$ . The standard quark and lepton fields are found in the 27 representation of the group, which under the maximal subgroup decomposes as follows:

$$27 \rightarrow (3, \bar{3}, 1) + (\bar{3}, 1, 3) + (1, 3, \bar{3}).$$

The accommodation of the various particles is

$$(3, \bar{3}, 1) = \begin{pmatrix} u \\ d \\ D \end{pmatrix}, \quad (\bar{3}, 1, 3) = \begin{pmatrix} u^c \\ d^c \\ D^c \end{pmatrix}, \\ (1, 3, \bar{3}) = \begin{pmatrix} h^0 & h & e^c \\ h^- & \bar{h}^0 & \nu^c \\ e & \nu & N \end{pmatrix}, \quad (12)$$

where the charge operator is  $Q = I_{3L} + I_{3R} + \frac{1}{2}(Y_L + Y_R)$ . As in the previous model, in the case of a  $k=1$  construction, the appearance of massless states with fractional charges is possible. We will see, however, that it is not necessary to complicate our analysis with such exotic states.

There are several symmetry breaking chains of the

above gauge group down to the standard model. In the following we will assume the simplest one which includes only one intermediate scale:

$$E \xrightarrow{M_{\text{su}}} \text{SU}(3) \times \text{SU}(3)_L \times \text{SU}(3)_R$$

$$\xrightarrow{M_X} \text{SU}(3) \times \text{SU}(2)_L \times \text{U}(1)_Y.$$

At  $M_X$  we have the relation

$$\frac{1}{\alpha_Y} = \frac{4}{5} \frac{1}{\alpha_{3R}} + \frac{1}{5} \frac{1}{\alpha_{3L}}, \quad (13a)$$

which implies that

$$\begin{aligned} \frac{1}{\alpha_Y} - \frac{1}{\alpha_2} &= \frac{4}{5} \left( \frac{1}{\alpha_{3R}} - \frac{1}{\alpha_{3L}} \right) \\ &= \frac{1}{5\pi} (b_{3R} - b_{3L}) \ln \left( \frac{M_{\text{su}}^2}{M_X^2} \right). \end{aligned} \quad (13b)$$

Note that in this case the gauge boson and gaugino contribution alone vanishes and the value of  $\sin^2\theta_w$  remains  $\frac{3}{8}$  at  $M_X$ . The  $\beta$ -function coefficients, between  $M_{\text{su}}$  and  $M_X$  are

$$\begin{aligned} b_3 &= -9 + \frac{3}{2}(n_q + n_{Q^c}), \\ b_{3L} &= -9 + \frac{3}{2}(n_q + n_q), \\ b_{3R} &= -9 + \frac{3}{2}(n_q + n_{Q^c}), \end{aligned} \quad (14)$$

where  $n_q$  is the number of  $(3, 3, 1)$  representations,  $n_{Q^c}$  of the  $(\bar{3}, 1, 3)$  and  $n_q$  of the  $(1, \bar{3}, 3)$  representations. Below  $M_{\text{su}}$  we have the standard model  $\beta$ -functions. Now, using the RGEs, the values of  $\sin^2\theta_w$  and  $\alpha_3$  at  $M_Z$  are given by

$$\begin{aligned} \sin^2\theta_w &= \frac{3}{8} + \frac{1}{2}(b_{3L} - b_{3R}) \frac{\alpha}{2\pi} \ln \frac{M_{\text{su}}}{M_X} \\ &\quad - \frac{5}{8}(b_Y - b_2) \frac{\alpha}{2\pi} \ln \frac{M_X}{M_Z}, \\ \frac{\alpha}{\alpha_3} &= \frac{3}{8} + [b_3 - \frac{1}{2}(b_{3L} + b_{3R})] \frac{\alpha}{2\pi} \ln \frac{M_{\text{su}}}{M_X} \\ &\quad + (b_3 - \frac{3}{8}b_2 - \frac{5}{8}b_Y) \frac{\alpha}{2\pi} \ln \frac{M_X}{M_Z}. \end{aligned} \quad (15)$$

However, some extra string representations may remain massless below  $M_X$ , as in the previous model. In that case, one should also include the contribution of these states above some intermediate scale  $M_1$ .

Since our purpose here is to show that there are some minimal requirements, which should be met in order to be consistent with a high ( $\sim 10^{18}$  GeV) string unification scale, we are not complicating our analysis introducing such new scales  $M_1$ .

Now a careful examination reveals the following property. If  $n_q = n_{Q^c} = n_q$ ,  $b_{3L} = b_{3R} = b_3$  and the couplings  $g_{3L}$ ,  $g_{3R}$  and  $g_3$  evolve identically from  $M_{\text{su}}$  down to  $M_X$ , then, eqs. (15) take exactly the form of eqs. (1) where now  $M_X$  is the  $\text{SU}(3)_L \times \text{SU}(3)_R$  breaking scale. It is possible now, by a judicious choice of  $\alpha_{\text{su}}$  at  $M_{\text{su}}$ , to obtain  $\alpha_{3R} = \alpha_{3L} = \alpha_3 \sim \frac{1}{25}$  at  $M_X \sim 10^{16}$  GeV, since we know that these values lead to the successful predictions for  $\sin^2\theta_w$  and  $\alpha_3$ . However, in realistic string models one usually finds that under the group of honest symmetries and the flux breaking mechanism, different numbers of lepton, quark and antiquark superfields survive. Thus in general  $b_{3L}$ ,  $b_{3R}$  and  $b_3$  are present in eqs. (15), affecting the low energy values of  $\sin^2\theta_w$  and  $\alpha_3$ . In the following we examine this general case and put constraints on the possible numbers of quark, antiquark and lepton superfields which a string model with  $\text{SU}(3) \times \text{SU}(3)_L \times \text{SU}(3)_R$  symmetry should have, in order to be consistent with the low energy phenomenology.

Let us denote for simplicity  $n = n_q - n_{Q^c}$  and  $\bar{n} = n_q - n_q$ . Then, the experimentally acceptable range of  $\sin^2\theta_w$  and  $\alpha_3$  leads to the following conditions:

$$\begin{aligned} 320 &< (10 + n + 2\bar{n}) \ln \frac{M_X}{M_Z} - (n + 2\bar{n}) \ln \frac{M_{\text{su}}}{M_Z} < 330, \\ 151 &< (\frac{14}{3} + n) \ln \frac{M_X}{M_Z} - n \ln \frac{M_{\text{su}}}{M_Z} < 157. \end{aligned} \quad (16)$$

The above conditions can be satisfied for various values of  $n$  and  $\bar{n}$ , provided that we use in eqs. (16) the proper scale  $M_X$ . Thus, if  $n=0$ , which means that  $n_q = n_{Q^c}$ , we get  $10^{16} < M_X/\text{GeV} < 5.8 \times 10^{16}$ . Inserting the above range of  $M_X$  in eqs. (16) we find  $\bar{n}=0$  or 1, corresponding to  $n_{Q^c} = n_q$  or  $n_{Q^c} = n_q + 1$ . A list of various possible cases is presented in table 1, which shows that the  $\text{SU}(3) \times \text{SU}(3)_L \times \text{SU}(3)_R$  model is probably less constrained compared to the other two cases analyzed above and to the minimal superstring version of the standard model.

In conclusion, we have analyzed the possibility of obtaining the correct low energy values for the exper-

Table 1

The range of the scale  $M_X$  for various possible choices of  $n$  and  $\bar{n}$ , in the  $SU(3)^3$  model.

$n$	$\bar{n}$	$M_{X_{\min}}$ (GeV)	$M_{X_{\max}}$ (GeV)
0	1	$1.9 \times 10^{16}$	$5.8 \times 10^{16}$
0	0	$8.4 \times 10^{15}$	$5.8 \times 10^{16}$
1	1	$2.1 \times 10^{16}$	$1.0 \times 10^{17}$
2	0	$3.9 \times 10^{16}$	$3.9 \times 10^{16}$
2	1	$3.9 \times 10^{16}$	$8.0 \times 10^{16}$
2	2	$3.9 \times 10^{16}$	$1.3 \times 10^{17}$
1	2	$2.6 \times 10^{16}$	$1.0 \times 10^{17}$
0	2	$3.5 \times 10^{16}$	$5.8 \times 10^{16}$

imentally determined parameters  $\alpha$ ,  $\alpha_3$  and  $\sin^2\theta_w$  in two GUT-like string models based on the  $SU(4) \times SU(2)_L \times SU(2)_R$  and  $SU(3)^3$  gauge symmetries, after taking into account the modifications to the unification scale due to string threshold effects. We have found that the unification scenario is possible in these two cases under certain assumptions, related with the particle spectrum and the intermediate "grand unification" scale  $M_X$ . In the  $SU(4) \times SU(2)_L \times SU(2)_R$  case, string unification at a high scale is possible even with the minimal particle content if  $M_X \sim 10^{14}$  GeV. Introduction of extra exotic states (as in realistic string versions) raises  $M_X$  by one to two orders of magnitude. The unification scenario is even less restrictive in the case of  $SU(3)^3$  symmetry. There are numerous choices of the field content which may give phenomenologically acceptable models. However, a lot of work is needed until a model with the required spectrum appears.

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